

# Comparing approaches for evapotranspiration partitioning: single vs. dual crop coefficients

## Avaliação da partição da evapotranspiração: comparação de dois métodos baseados no coeficiente cultural simples e coeficiente cultural dual

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### ABSTRACT

Soil evaporation and crop transpiration are fundamental processes in modelling water transport, which is crucial for irrigation planning and scheduling. This study compares two methods to determine these components of crop evapotranspiration: (i) the straightforward single crop coefficient method, and (ii) the dual crop coefficient method, which may be more suitable for daily irrigation scheduling. Experimental data were collected from a spinach crop grown in pots between June and September 2022, using drip irrigation with three different soils (two sandy loams and one loam), inside a polytunnel, during two growth cycles. Meteorological data, plant height, and root depth were measured onsite. The particle size distribution was used to obtain soil hydraulic properties for dual crop coefficient method. Soil cover fraction was obtained with the *Canopeo* software. The results showed that crop evapotranspiration and its partitioning in evaporation and transpiration differ between the two methods. The single crop coefficient method resulted in overall lower crop evapotranspiration throughout the growth cycles, while attributing a relatively larger proportion of evapotranspiration to evaporation, particularly after the midpoint of the growth cycles.

**Keywords:** soil evaporation, crop transpiration, crop coefficient, soil cover factor, irrigation scheduling.

### RESUMO

A evaporação do solo e a transpiração das plantas são processos fundamentais na modelação do transporte de água, essencial para o planeamento da rega. Este estudo compara dois métodos para determinar esses componentes partindo da evapotranspiração cultural: (i) o coeficiente cultural simples e (ii) o coeficiente cultural dual, que pode ser mais adequado para a programação diária da irrigação. Foram usados dados experimentais de uma cultura de espinafre cultivada em vasos entre junho e setembro de 2022, usando rega por gota-a-gota em três tipos diferentes de solo (dois solos franco-arenosos e um solo franco), dentro de uma estufa, durante dois ciclos de crescimento. Os dados meteorológicos, a altura das plantas e profundidade das raízes foram medidos durante a experiência. As propriedades hidráulicas do solo para o método do coeficiente cultural dual foram estimadas por funções pedo-transferência com base na distribuição do tamanho das partículas do solo. As estimativas da fração coberta do solo foram obtidas com o software *Canopeo*. Os resultados mostraram que o método do coeficiente de cultural simples resultou numa menor transpiração ao longo dos ciclos de crescimento e atribuiu uma proporção relativamente maior da evapotranspiração à evaporação, especialmente após o meio dos ciclos de crescimento.

**Palavras-chave:** evaporação do solo, transpiração cultural, coeficientes culturais, fração coberta do solo, planeamento da rega.

## INTRODUCTION

Soil evaporation ( $E$ ) and crop transpiration ( $T$ ) are fundamental processes in modeling water transport in soil, which is essential for effective irrigation planning and scheduling. The combination of these two processes constitutes crop evapotranspiration, which is influenced by weather conditions, crop characteristics, and management practices. These factors must be considered when assessing evapotranspiration from crops grown over large areas. Crop evapotranspiration under standard conditions ( $ET_o$ ) represents the water demand of crops grown under optimal conditions, with sufficient soil water, effective management, and favourable environmental conditions, ensuring maximum production for the given climatic conditions (Allen *et al.*, 1998).

$ET_c$  is calculated using reference evapotranspiration ( $ET_o$ ) and a crop coefficient, which accounts for differences between the field crops and the reference grass used in  $ET_o$  calculations. This can be done by integrating differences in  $E$  and  $T$  into a single crop coefficient ( $K_c$ ) or separated them into two coefficients: a basal crop coefficient ( $K_{cb}$ ) and a soil evaporation coefficient ( $K_e$ ). The choice of method depends on the purpose of the calculation, the required accuracy, and the data availability. The single  $K_c$  method is straightforward and requires less data, while the dual crop coefficient method is computationally more intensive but more adequate for analysing the effects of specific wetting events and for scheduling high-frequency irrigation (Allen *et al.*, 1998).

The aim of this study was to compare the results from the single and dual crop coefficient methods to determine  $ET_c$  and partitioning it into its two components,  $E$  and  $T$ , using experimental data from a spinach crop grown in pots in a polytunnel during two growth cycles.

## MATERIAL AND METHODS

### Experimental data

The data used in this study was collected in an experiment conducted between June and September 2022 at Lincoln University, in Lincoln, UK. In the

trial, spinach was grown in large-scale pots with a height of 0.4 m and a diameter of 0.3 m. The pots had drainage holes at the bottom to facilitate free water drainage. The pots were placed inside a polytunnel and filled with three different soil types soils (two sandy loams and one loam), with and no additional fertilization applied. Each soil type was represented by five replicate pots, and the three pots with the most similar spinach growth were selected for modelling. In each pot, five spinach plants were seeded. Due to the short growth cycle of spinach, two consecutive growth cycles were conducted to extend the monitoring period. All the pots were irrigated using a drip system, while precipitation was simulated using a sprinkler.

Daily meteorological data relative to air temperature, wind speed, and relative humidity were collected at the experimental site. The plant height and the root depth were measured at the end of the growth cycle and linear growth was assumed during the cycle. Soil particle size distribution was determined to calculate the readily evaporable water ( $REW$ ) and the total evaporable water ( $TEW$ ) using pedo-transfer functions. Soil cover fraction ( $SCF$ ) was obtained with the *Canopeo* software.

### Single crop coefficient method

Both the single and dual crop coefficient determination methods were applied as described by Allen *et al.* (1998). In the single crop coefficient approach,  $ET_c$  [ $\text{mmd}^{-1}$ ] is calculated according to Equation 1:

$$ET_c = ET_o \times K_c \quad \text{Equation 1}$$

where  $ET_o$  was calculated according to the Hargreaves-Samani equation (Hargreaves & Samani, 1985) using a transmissibility factor, measured onsite, to account for differences between radiation outside and inside the polytunnel, according Fernández *et al.* (2010).  $K_c$  was obtained from reference values for spinach for the four growth stages: initial, crop development, midseason, and late season. The duration of the stages was defined by the  $SCF$  according to Allen *et al.* (1998). The  $K_c$  of midseason and late season was adjusted for the specific weather conditions according to Equation 2.

$$K_c = K_{c(Tab)} + [0.04(u_2 - 2) - 0.004(RH_{min} - 45)] \left(\frac{h_e}{3}\right)^{0.3} \quad \text{Equation 2}$$

where  $K_{c(Tab)}$  is the reference value for spinach by Allen *et al.* (1998),  $u_2$  is wind speed measured at 2 m height,  $RH_{min}$  is minimum relative humidity, and  $h_e$  is the plant height. The soil cover fraction (SCF) was used to partition  $ET_c$  into  $E$  and  $T$ , as proposed by Ritchie (1972), according to Equations 3 and 4:

$$T = ET_c \times SCF \quad \text{Equation 3}$$

$$E = ET_c \times (1 - SCF) \quad \text{Equation 4}$$

### Dual crop coefficient method

In the dual crop coefficient method,  $K_c$  is split into two factors that separately describe  $E$  and  $T$ , according to equation 5.

$$ET_c = ET_o \times (K_{cb} + K_e) \quad \text{Equation 5}$$

$K_{cb}$  is defined as the ratio between  $ET_c/ET_o$  when the soil surface is dry but transpiration is occurring at a potential rate.  $K_{cb}$  was obtained from reference values for spinach by Allen *et al.* (1998) and adjusted according to equation 6:

$$K_{cb} = K_{cb(Tab)} + [0.04(u_2 - 2) - 0.004(RH_{min} - 45)] \left(\frac{h_e}{3}\right)^{0.3} \quad \text{Equation 6}$$

$K_e$  describes the evaporation component of  $ET_c$  and is calculated according to equation 7:

$$K_e = K_r (K_{cmax} - K_{cb}) \leq f_{ew} K_{cmax} \quad \text{Equation 7}$$

where  $K_r$  is dimensionless evaporation reduction coefficient,  $K_{cmax}$  is maximum value of  $K_c$  following rain or irrigation, and  $f_{ew}$  the soil fraction that is both exposed and wetted. Following rain or irrigation  $K_r$  is 1 and evaporation is maximal. As the soil surface dries,  $K_r$  is  $< 1$  and evaporation is reduced.  $K_r$  is zero when no water is left for evaporation in the upper soil layer.  $K_r$  is calculated by equation 8:

$$K_r = \frac{TEW - D_{e,i-1}}{TEW - REW} \quad \text{Equation 8}$$

where  $TEW$  is total evaporable water,  $REW$  is the readily evaporable water and  $D_{e,i-1}$  is the cumulative evaporative depletion of the previous day. This equation is used when  $TEW$  is lower than  $D_{e,i-1}$  otherwise  $K_r$  is considered to be 1.  $TEW$  and  $REW$  were calculated from the field capacity and wilting point (Allen *et al.*, 2005), which were obtained with the particle size distribution with pedo-transfer functions presented by Ramos *et al.* (2014). The  $K_{cmax}$  and  $f_{ew}$  are calculated according to equations 9 and 10:

$$K_{cmax} = \left( \{1, 2 + [0,04(u_2 - 2) - 0,004(RH_{min} - 45)] \left(\frac{h_e}{3}\right)^{0.3} \}, (K_{cb} + 0.05) \right) \quad \text{Equation 9}$$

$$f_{ew} = \min(1 - SCF, f_w) \quad \text{Equation 10}$$

where  $f_w$  is fraction of soil surface wetted by irrigation or precipitation.  $D_{e,i-1}$  and  $f_w$  were also calculated according to the equations presented by Allen *et al.* (1998). Finally,  $T$  and  $E$  can be calculated by equations 11 and 12 respectively:

$$T_r = ET_o \times K_{cb} \quad \text{Equation 11}$$

$$E = ET_o \times K_e \quad \text{Equation 12}$$

All the results were obtained by implementing the equations in a spreadsheet.

### Determination of the soil cover fraction (SCF)

An important variable in both approaches is  $SCF$ . To estimate  $SCF$ , the *Canopeo* software was used (Patrignani & Ochsner, 2015). This application is based on the ratio of red to green (R/G) and blue to green (B/G) colours in each pixel, as well as an excess green index (2G-R-B). The R/G and B/G ratios must be lower than 0.95 and the excess green index must be higher than 20 to classify the pixel as green. Figure 1 shows the processing of a spinach pot picture by *Canopeo* to estimate  $SCF$ .



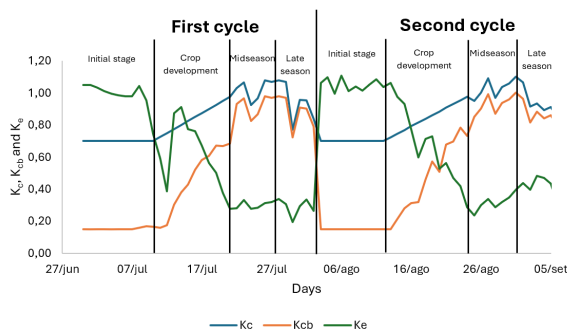
**Figure 1** - Spinach pot picture processed by Canopeo. In this example, resulted in a SCF of 56,9%.

## RESULTS AND DISCUSSION

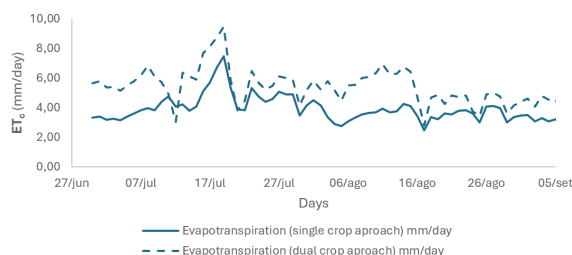
Figure 2 shows the crop coefficients used in both methods, namely the  $K_c$  corrected to meteorological conditions, and  $K_{cb}$  and  $K_e$  obtained with Equations 6 and 7. In this Figure and the following, the results are shown for one of the studied sandy loam soils, with similar outcomes for the other two soil types, which are therefore not presented. Figure 2 shows that  $K_{cb}$  is lower than  $K_c$ , as  $K_{cb}$  is related only to the crop's transpiration. The main difference between  $K_c$  and  $K_{cb}$  occurs during the initial stages in both growth cycles, when the spinach is small and water losses are mainly due to soil evaporation, related to by  $K_e$ . As the spinach SCF increases,  $K_e$  decreases. The  $K_{cb}$  and  $K_c$  become nearly identical at the midseason and late season stages.  $K_e$  peaks after an irrigation or precipitation event, but as the layer dries,  $K_e$  gradually decreases.

Figure 3 shows  $ET_c$  resulting from the single and the dual crop coefficient methods, for a sandy loam soil. The single crop coefficient approach resulted in lower  $ET_c$  across the entire cycle, which indicates that the sum of  $K_{cb}$  and  $K_e$  is higher than  $K_c$ . In average,,  $ET_c$  was 1,50 mm/day lower in the single crop coefficient approach than in the dual crop coefficient, during the entire period.

Figure 4 shows  $E$  and  $T$  resulting from both methods, in same sandy loam soil. Over the entire



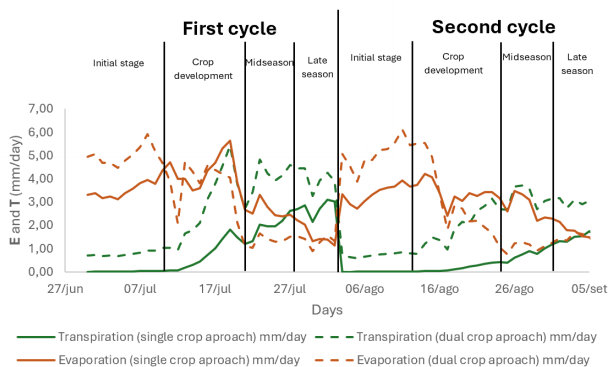
**Figure 2** - The single crop coefficient ( $K_{cb}$ ), the basal crop coefficient ( $K_{cb}$ ), and the evaporation coefficient ( $K_e$ ) for the two spinach growth cycles, in a sandy loam soil.



**Figure 3** - Crop evapotranspiration ( $ET_c$ ) determined with the single and dual crop coefficient methods in a sandy loam soil.

period,  $T$  was 54,26 mm for single crop coefficient method and 159,32 mm for the dual crop coefficient method. This is partly because the SCF used for





**Figure 4** - Evaporation ( $E$ ) and transpiration ( $T$ ) determined with the single and dual crop coefficient methods in a sandy loam soil.

calculating  $T$  in Equation 3 is zero during the initial stage of the growth cycles, whereas  $K_{cb}$  never drops to such a low value. The single crop coefficient approach resulted in lower  $E$  during the initial stage of the growth cycles, but it increased and surpassed the values from the dual crop coefficient approach after the midpoint of the growth cycles. Over the entire period,  $E$  was 214,38 mm for the single crop coefficient approach and 212,89 mm for the dual crop coefficient approach.

These results show that the two methods not only result in different absolute value of  $ET_c$  (Figure 2) but also differ in how they partition  $ET_c$  into  $E$  and

$T$ , resulting in different proportions for each component along the growth cycle. Specifically, in the single crop coefficient approach, a relatively larger proportion of  $ET_c$  was attributed to  $E$ , particularly after the midpoint of the growth cycles.

## CONCLUSIONS

Crop evapotranspiration and its partitioning in  $E$  and  $T$  differ between the two approaches. Namely, the single crop coefficient approach results in a lower  $ET_c$  throughout the entire growth cycles, while attributing a relatively larger proportion of  $ET_c$  to  $E$ , particularly after the midpoint of the growth cycles. These differences are primarily due to the use of a daily adjusted  $K_e$  and  $K_{cb}$  in the dual crop approach, compared to a  $K_c$  within each crop stage in the single crop approach. Future research should compare the results from both approaches with measured values of  $ET_c$ ,  $E$ , and  $T$ .

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